



*Section 113*

**PATENT**  
Attorney Docket No. 401352/FUKAMI

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Kenji SHINTANI ET AL

Application No. 09/934,453 ✓

Filed: August 22, 2001

Art Unit: Unassigned

Examiner: Unassigned

For: METHOD OF FABRICATING  
SEMICONDUCTOR DEVICE AND  
WAFER TREATMENT APPARATUS  
EMPLOYED THEREFOR AS WELL AS  
SEMICONDUCTOR DEVICE

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir

Applicants hereby respond to the Notice to File Missing Parts of Application dated September 24, 2001.

The items checked below are appropriate:

**1. Status of Applicant**

This application is on behalf of ☒ other than a small entity or ☐ a small entity.

**2. Documents Submitted Herewith**

- a. ☒ Executed Declaration of inventor(s)
- b. ☒ Nucleotide and/or Amino Acid Sequence Submission:
  - i. ☐ Computer Readable Form (CRF)
  - ii. Specification Sequence Listing on:
    - (1) ☐ CD-ROM or CD-R (2 copies); or
    - (2) ☐ Paper Copy
  - iii. ☐ Statement verifying identity of above copies
- c. ☐ Verified English translation of application
- d. ☐ Copy of the Notice to File Missing Parts of Application.
- e. ☐ Substitute Drawings
- f. ☐ Other: Priority Document

3. Fees

**Application Filing Fee**

APPLICATION FEES				
BASIC FEE				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	20 - 20 =	0	x \$18.00	\$ 0.00
Independent Claims	3 - 3 =	0	x \$84.00	\$ 0.00
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+\$280.00	\$
Total of above calculations =				\$ 740.00
Reduction by 50% for filing by small entity =				\$( )
<b>Fee Due =</b>				<b>\$740.00</b>

**Late Filing of Oath or Declaration**

Pursuant to 37 CFR 1.16(e), the surcharge for filing this Response is for ☒ other than a small entity or ☐ a small entity.

**Fee Due** \$130.00

**Submitting a Translation of the Specification**

Pursuant to 37 CFR 1.17(i), the surcharge for submitting an English translation of the non-English specification is included.

**Fee Due** \$ 0.00

**Extension of Time**

- ☐ Applicants petition for a one-month extension of time under 37 CFR 1.136, the fee for which is \$110.00.
- ☐ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

**Fee Due** \$

4. **Total Fee Due**

The total fee due is:

Application Filing Fee	\$740.00
Late Filing of Declaration Surcharge	\$130.00
Non-English Specification Surcharge	\$ 0.00
Extension of Time Fee	\$ 0.00

**Total Fee Due: \$870.00**

In re Appln. of Kenji SHINTANI ET AL  
Application No. 09/934,453

5. **Fee Payment**

☒

Attached is a check in the sum of \$870.00.

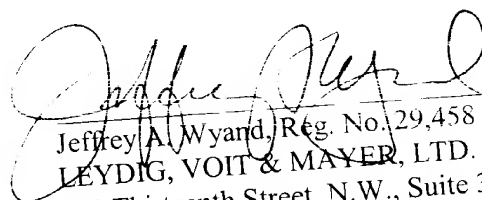
Charge Account No. 12-1216 the sum of \$  
transmittal is attached.

A duplicate of this

6. **Fee Deficiency**

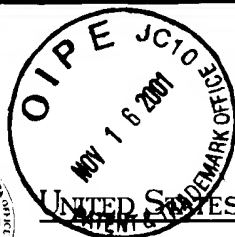
☒

If any additional fee is required in connection with this communication, charge  
Account No. 12-1216. A duplicate of this transmittal is attached.

  
Jeffrey A. Wyand, Reg. No. 29,458  
LEYDIG, VOIT & MAYER, LTD.  
700 Thirteenth Street, N.W., Suite 300  
Washington, DC 20005-3960  
(202) 737-6770 (telephone)  
(202) 737-6776 (facsimile)

Date: November 9, 2001

Missing Parts Response (Revised 10/18/01)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/934,453	08/22/2001	Kenji Shintani	401352

23548  
LEYDIG VOIT & MAYER, LTD  
700 THIRTEENTH ST. NW  
SUITE 300  
WASHINGTON, DC 20005-3960

CONFIRMATION NO. 7034

## FORMALITIES LETTER



\*OC00000006780297\*

Date Mailed: 09/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE